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Assistant Commissioner for Patents

Washington, D.C. 20231

Sir,

Transmitted herewith for filing is the patent application, including 6 sheet(s) of formal drawings, of inventor(s):  
**Kar W. Yung, Frank A. Hagen, Donald C. D. Chang**

for: **RESOURCE ALLOCATIN METHOD FOR MULTI-PLATFORM COMMUNICATION SYSTEM**

The filing fee for this application is calculated below:

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RESOURCE ALLOCATION METHOD FOR  
MULTI-PLATFORM COMMUNICATION SYSTEM

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### **Cross-Reference To Related Applications**

The present application is a continuation-in-part of assignee's co-pending U.S. Serial No. 5 09/271,997, entitled "Multiple Satellite Mobile Communications Method and Apparatus for Hand-Held Terminals," filed on March 18, 1999.

### **Technical Field**

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The present invention relates generally to  
10 a wireless communication system. More specifically,  
the present invention relates to a resource  
allocation technique for a multi-platform wireless  
communication system that provides improved  
utilization of total system resources to achieve  
15 maximum system utility.

### **Background Art**

Current mobile satellite communication  
systems, such as Iridium, Globalstar, and ICO,  
utilize low-cost user terminals as one of their key  
20 system features. To maintain communications linkage  
with these current mobile systems, the system  
satellites provide multiple beam and high-gain  
services to the subscribers. The low-cost and low-  
gain hand-held terminals utilized by the users of  
25 these systems, transmit and receive signals to and  
from high performance satellites which populate

almost the entire hemisphere. Some of these current systems require access to at least two satellites to assure a soft hand-over process as the satellites progress from horizon to horizon. As a result, the  
5 satellite system becomes more reliable and available as more satellites come into a user's field of view (FOV). The satellite constellations provided by these current systems are thus sized to guarantee a minimum number of satellites within a user's FOV over  
10 large coverage areas at all times.

All of these current mobile satellite communication systems, however, suffer from certain disadvantages. First, they all have limited frequency (the term "frequency" is generalized herein  
15 to refer to frequency, time slot or CDMA code) resources. Any given frequency over a given ground position can only be utilized by one user at a time. Thus, if one user accesses a satellite using a particular frequency slot to communicate to his  
20 counterpart on network, other satellites and/or users in the same region cannot reuse the same frequency resource in the same local area. In particular, if a nearby secondary user has a handset that requires the same frequency resources as is being utilized by the  
25 first user, the second user is unable to access the system, even via different satellites. This is true regardless of the sophistication of the system, including systems that utilize multiple beam satellite designs. Even when multiple satellites are

available at a given geographic location, the same frequency spectrum cannot be used by more than one user in a local area. The availability of multiple satellites merely serves to increase the availability  
5 of the system to the user. However, the total capacity of these mobile communication satellite systems is still limited by their inefficient usage of the available frequency resources. Thus, the potential growth of these current satellite  
10 communication systems is inherently limited.

Additionally, current telecommunications systems generally allow only mobile-to-hub and hub-to-mobile communications in most low earth orbit and medium earth orbit mobile satellite constellations.  
15 Mobile-to-mobile linkages require multiple hops between hubs. This means that two or more frequency resources must be committed by the system to close the links.

20 It is clearly desirable to provide a mobile communication satellite system that relaxes the above constraints, and more efficiently utilizes current mobile satellite communication system resources, while also providing much greater opportunity for  
25 system growth.

### Summary of the Invention

It is an object of the present invention to provide a wireless communication system with reduced limitations on frequency re-use for point-to-point  
5 communications.

It is another object of the present invention to provide a wireless communication system that utilizes individual transponders and mobile terminals that are relatively simple and of low  
10 complexity.

It is a further object of the present invention to provide a wireless communication system with high system reliability through graceful degradation.

It is still another object of the present invention to provide a multi-transponder wireless communication system that allows flexible combination of user types.  
15

It is a related object of the present invention to provide a multi-transponder wireless communication system with better utilization of total system resources.  
20

It is yet a further object of the present invention to provide a resource allocation technique  
25

for a multi-platform system that maximizes the total monetary return.

In accordance with the above and other objects of the present invention, a multi-platform  
5 wireless communication system is provided. The wireless communication system includes a plurality of individual communication transponding nodes. The plurality of individual transponding nodes are each in communication with a ground hub such that a signal  
10 processed by the ground hub in the forward link is radiated with compensating time delays to one or more of the plurality of individual transponders. The radiated signals are then re-radiated by the plurality of individual transponders and coherently  
15 received and processed by a mobile user terminal. The return link signal path is the reverse of the forward link.

In accordance with another object of the  
20 present invention, the system includes a plurality of individual transponding nodes. The system also includes a plurality of individual resource cells, each associated with a particular one of the plurality of individual transponding nodes and a  
25 particular one of the plurality of available codes. The system further includes a plurality of mobile terminals of different types, each of which is assigned to operate in one or more of the plurality of individual resource cells. Each of the plurality

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### Brief Description of the Drawings

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**FIGURE 3** is a schematic illustration of the return link geometry of a wireless communications system in accordance with a preferred embodiment of the present invention;



**FIGURE 4** is a schematic block diagram illustrating the signal receive function of a ground telecommunications hub for a wireless communications system in accordance with a preferred embodiment of the present invention;

**FIGURE 5** is a schematic flow diagram illustrating the overall architecture for a wireless communications system in accordance with a preferred embodiment of the present invention;

**FIGURE 6** is a schematic illustration of a multi-transponder wireless communication system illustrating signals being received coherently by their intended remote user;

**FIGURE 7** is a schematic illustration of the multi-transponder wireless communication system of Figure 6 illustrating the same signals being received incoherently by a remote non-intended user;

**FIGURE 8** is a schematic illustration of a conventional approach to an asynchronous CDMA system that may be utilized in accordance with the present invention;

**FIGURE 9** illustrates a preferred embodiment of the present invention applied to the asynchronous CDMA system of Figure 8;

**FIGURE 10** is a schematic diagram illustrating the reception of matched filtered signals arriving from multiple transponder nodes in accordance with the preferred CDMA system of Figure 9; and

**FIGURE 11** is a schematic diagram illustrating an exemplary distribution of users in platform-code space for a multiple platform system in accordance with a preferred embodiment of the present invention.

#### **Best Mode(s) for Carrying Out the Invention**

Referring now to the figures, the disclosed mobile communication system can be utilized to break away from the frequency spectrum limitation discussed above and provide much more efficient means to re-use the allocated mobile satellite and wireless spectrum multiple times. By eliminating this frequency spectrum limitation on the operation of multiple satellites, the overall capacity of existing mobile satellite and wireless communication systems can more readily expand.

Referring now to Figure 1, a mobile satellite communication system 10 in accordance with a preferred embodiment of the present invention is illustrated. In Figure 1, the mobile satellite communications system 10 is illustrated in a forward

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link mode. The mobile satellite communications system 10 includes a ground telecommunications hub 12, a satellite constellation 14 including a plurality of individual satellites 16, and a plurality of hand-held user terminals 18 such as mobile phones. As discussed in more detail below, the user terminals 18 can receive signals simultaneously from multiple satellites 16 via their broad beam antennas 22. The ground telecommunications hub 12 is in communication with all of the satellites 16 in the satellite constellation 14 individually and simultaneously. The hub 12 also pre-processes user signals to compensate for path differentials before sending radiated signals 24 to the satellites 16, as discussed in more detail below.

In accordance with the preferred embodiment, the design of the individual satellites 14 can be significantly simplified over those utilized in prior mobile systems because the satellite constellation 14 functions as a sparse radiating array. It is known that the more satellites 16 that are included in a satellite constellation 14, the better the performance the mobile satellite communications system 10 will achieve. Satellites that are simple, small, and provide high performance are preferable. This is because the performance of the system 10 depends more

heavily on the satellite constellation 14 than on the individual satellites 16.

In a transmit mode, shown in Figure 1, the individual satellites 16 radiate modulated RF power to a chosen field of view ("FOV"). The system 10 is still operable with reduced capacity and no reconfiguration even if one individual satellite 16 is lost for any reason. As a result, the system 10 features graceful degradation characteristics and provides very high reliability and availability. Most of the complexity of the system 10 is located in the ground hubs 12, which locate and track the potential users and perform the major functions of beamforming and filtering, as discussed below.

As shown in Figure 2, the processing performed at the ground telecommunications hub 12 is diagrammatically illustrated. The hub 12 tracks, updates, and forward predicts the time variant differential information among various paths between the hub 12 and the intended user terminals 18. The accuracy of this information must be within a tenth of an RF wavelength. For UHF satellite systems, the required path differential accuracy is preferably about ten (10) centimeters. For L and S band mobile satellite constellations, the accuracy must be on the order of one (1) centimeter. Unfortunately, the conventional or GPS techniques are not able to provide the required accuracy.

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In accordance with the present invention, the required accuracy of the equivalent path differentials, including all propagation distortion, can be provided using two-way active calibration and R2N (two-way ranging navigation) techniques. An R2N technique is just one technique for obtaining positioning information by which to locate the positioning of the satellites and users precisely using multiple calibration sites and is described in co-pending U.S. Patent Application Serial No. 09/209,062, entitled "Method and System for Determining a Position of a Transceiver Unit Incorporating Two-Way Ranging Navigation as a Calibration Reference for GPS," and filed on December 10, 1998. Other known techniques may also be utilized.

The ground telecommunications hub 12 has a processing center 26 that processes each signal and is shown in a transmit mode in Figure 2. The hub 12 has the capability to address the plurality of satellites 16 individually through the use of antenna spatial discrimination to provide separate signals to different satellites. Alternatively, code identification can also be used to address different satellites independently.

As shown in Figure 2, assuming that there are "H" users, the signals from user 1 to user H, identified generally by reference number 28, are

input into the processing center 26. The position of the various users (1 to H), are determined generally by the circuitry from the various user signals 28, designated by reference number 30. The various user  
5 signals 28 for user 1 to user H are then combined for transmission to the different satellites 16, as generally indicated by reference number 32. In this case, the signal is sent to N satellites. The combined signals are then amplified, filtered, up  
10 converted, and then further amplified, as generally indicated by reference number 36. These signals are then delivered to a multiple beam antenna 38 where beam-forming processing is done so that the signals can be transmitted to the N satellites via radiating  
15 signals 24. The beam-forming process can be done in baseband or a low IF frequency band by either digital or analog means. For a low bandwidth (less than a few MHz signals), digital implementation can provide cost advantages. The processed signal 24, radiated  
20 from the ground hub 12 to each satellite, is amplified, filtered, and then re-radiated by each of the multiple satellites 16 to arrive at a designated user location simultaneously. Consequently, the radiated signals from the multiple satellites will be  
25 received coherently by a simple hand held terminal 22.

Equivalently, the effect of the spatial processing performed by the processing center 26 is to focus signal strength on the user from multiple

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satellites 16, which act like sparsely separated portions of a large active reflector. Therefore, the processing on the ground will insert different time delays into the signals 24 which are radiated via various paths. The time delays will be inserted into the signals 24 as if the satellites were located on an ellipsoidal surface, of which the two foci are located exactly at the hub 12 and the designated user 18 positions respectively. In low and middle earth orbit constellations, the users 18 and the hub 12 will always be in the near field of the sparse array.

In a receive mode, shown in Figure 3, the individual satellites 16 collect RF signals from the same FOV. Figure 3 illustrates the return link geometry for receiving signals sent from the user terminals 18 to the ground telecommunications hub 12. As shown in Figure 3, there are two groups of links involved: the links between users 18 and the satellites 16, generally indicated by reference number 40, and those between the satellites 16 and the hub 12, as generally indicated by reference number 42. For best performance, the user antennas 22 preferably are able to illuminate all the satellites 16 involved. This will lead to a constraint on the variation of the gain of the user antenna 22 over the cluster.

As with the forward link geometry, the satellites 16 will amplify the signals 40 received

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from the users 18 and re-radiate the signals 42 toward the hub 12. The hub 12 can receive signals 42 independently, but simultaneously from the satellites 16, and will add the signals 42 from different  
5 satellites coherently in the post-processor 44 as illustrated in Figure 4.

The signal flows on the block diagram shown in Figure 4 illustrate the receive function of the post-processor 40 and the hub 12. The signal flows  
10 are reversed from the corresponding ones in Figure 2. Therefore the receive process will not be reiterated in detail. However, the links 42 from the satellites 16 to the hub 12 are received at the beamformer 38 and then transferred to the receiver and down  
15 converters 46 before the signals are separated. The signals are separated depending upon the user from which they are received, as generally indicated by reference number 48, and then sent to the specific user 1 through H, as generally indicated by reference  
20 number 50. It should be understood that both the receive and transmit function are a necessary part of the pathlink calibration and user positioning.

The technique of the present invention has been demonstrated to significantly reduce the average  
25 side lobe levels. It has been determined that this is due to three factors. First, the proposed architecture is not a periodic array, but rather a randomly spaced sparse array, which has no grating

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lobes. Although the average side lobe level at a single frequency is relatively high, the level decreases with increasing bandwidth. Second, the large sparsely filled array formed by moving  
5 satellites is a large extended aperture size. Thus, all of the users on the ground are in the near field of the extended aperture and the wave fronts received by all users are spherical instead of planar. Consequently, dispersion effects become much more  
10 pronounced than would be the case in the far field. The dispersion grows very fast as a probe is scanned away from the main beam and the dispersion smears the power distribution very effectively over a finite signal bandwidth. Third, the communication system is  
15 preferably designed with a large frequency bandwidth spectrum. The information signal will therefore be spread over this bandwidth via CDMA or through short duration waveforms for TDMA schemes.

Figure 5 illustrates diagrammatically the  
20 operation of the invention, which allows for the increased re-use of precious frequency spectrum by multiple satellites. The advantages provided by this system include no limitation on frequency re-use by additional satellites for point-to-point  
25 communications. Rather, the capacity of this system is only limited by total satellite RF power. Further, the preferred embodiment allows for the use of simple and low cost satellite designs, because the more satellites included in the constellation, the

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better the performance of the overall system. The system also provides high system reliability through graceful degradation, as well as concentrating complex processing at the hubs.

5           The preferred embodiment creates demand for a large number of low cost satellites and also uses R2N techniques to perform satellite and user positioning. The more users using this system, the more accurately the satellite and user positions can  
10 be determined. However, even more important than the actual positions of the users and satellites are the path lengths traversed by the signals. Therefore, periodic calibration techniques applied directly to those path lengths may be much simpler and more cost  
15 effective. Further, the system also benefits from large percentage bandwidths available with CDMA and TDMA systems.

As shown in Figure 5, the present invention is divided up into three segments: a hub segment 52  
20 containing the ground telecommunications hub 12, a space segment 54 containing a plurality of individual satellites 16, and a user segment 56, having a plurality of user terminals 18. The hub segment also has a processing center 26 and a post-processor 44  
25 for processing the received and transmitted signals.

The user terminals 18 receive and transmit signals simultaneously from/to multiple satellites 16

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Figure 6 illustrates a multi-platform communication system 100 with improved frequency reuse efficiency in accordance with a preferred embodiment of the present invention. In particular, the system illustrated in Figure 6 uses CDMA coding to subdivide the frequency resource among the various users. The system 100 enables a plurality of transponders 102, 104 to receive signals 106, 108 from the ground hub 110 and to transmit the signals 112, 114 at the same frequency with reduced interference to the intended user 116 from signals intended for other users. This is achieved by synchronizing the transmitted signals at the hub in such a way that the intended user 116 will receive all of the signals 112, 114 synchronously and completely in phase.

Based on the distances from the hub 110, to the various transponders 102, 104 and the distances between the transponders 102, 104 and the intended user 116, the appropriate compensating time delays are calculated and injected into each forward link message at the hub such that the intended user will coherently receive a combined signal from all the transponders as generally indicated at 118. The forward link to the intended user 116 follows the sequence of the hub 110 to the first transponder 102 to the user 116 (hub  $\rightarrow$  trans 1  $\rightarrow$  user 1) and also from the hub 110 to the second transponder 104 to the user 116 (hub  $\rightarrow$  trans 2  $\rightarrow$  user 1). Using the correct time delay on each forward link, all intended signals 112, 114 will arrive at the intended user 116 in phase. Conversely, the same signals intended for the intended user 116 will arrive out of phase at a non-intended user 120 and all other non-intended users in the area. This is shown in Figure 7, which is described below.

Figure 7, illustrates the operation of the system of Figure 6 with respect to the non-intended user 120. The distance between the hub 116 and the first transponder 102 and the distance between the first transponder 102 and the non-intended user 120 (hub  $\rightarrow$  trans 1  $\rightarrow$  user 2) and the distance between the hub 116 and the second transponder 104 and the

distance between the second transponder 104 and the non-intended user 120 (hub → trans 2 → user 2) are different in this case, even after compensation by the hub. Because of the distance differences, the signals 122, 124 will arrive at the non-intended user 120 at a different times and out-of-phase. The combined signal 126 will thus appear as noise and can be rejected as such by the terminal of the non-intended user 120.

10

It should be understood that the transponders 102, 104 can be part of any type of wireless communication system or can even be selected from several such systems. For example, while a space based system using satellites is illustrated, regional and national tower-based cellular networks for fixed and mobile communications may also be utilized. Additionally, any high altitude platform system, such as manned/unmanned airships, balloons, or airplanes may also be utilized. Further, while only two transponders are illustrated, an unlimited number of transponders may be utilized. Moreover, while the multiple transponders are shown as being part of a unitary system, any combination of transponders can be used to transmit signals in accordance with the present invention. For example, a signal may be transmitted to a user through both a space-based system and a high altitude platform system. Finally, different sets of transponders may

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5           As is known, in conventional CDMA single transponder systems, unique CDMA codes are assigned to each user to avoid interference. Similarly, in multi-transponder systems, when two or more transponders are serving the same geographical location, unique CDMA codes must be used to distinguish the various signals and to avoid interference. For example, as shown in Figure 8, which illustrates a conventional CDMA multi-transponder system, user 116 must use different codes 10 for signals 112, 114 received from the two different transponders 102, 104. Thus, two distinct codes, "code 1" and "code 3" are assigned to the same user 116 in this example, with "code 1" being assigned to signal 112 and "code 3" being assigned to signal 114. 15 If both transponders 102, 104 were to transmit at "code 1", the two received signals 112, 114 would interfere with each other and the terminal of the user 116 would not be able to decode the signals correctly. Two additional codes must be assigned to 20 each additional user, such as user 128 who is assigned codes 2 and 4.

The various CDMA codes for co-located users can be synchronous or asynchronous. A synchronous  
30 orthogonal code gives an advantage of about 15 dB or

5 Although multiple transponder nodes increase the system availability and total power resource, it under-utilizes the system's full potential, because there are only a finite number of codes available due to the finite bandwidth available to a system. Thus, 10 the total bandwidth limits the number of users the system can serve and the system is unable to fully utilize the power and capacity it was designed to handle.

15 In the preferred embodiment, the system 100 is an asynchronous CDMA system that utilizes imbedded time delays as described in co-pending patent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ and entitled "Coherent Synchronization

20 of Code Division Multiple Access Signals," which is hereby incorporated by reference. In accordance with the preferred system, the signals 112, 114 from each transponder 102, 104 will arrive completely in-phase because appropriate time delays are pre-determined

25 and applied to the signals 112, 114 at the central hub 100, as is shown in Figure 9. It should be understood that other time delay methods can also be utilized.

As shown, the first user 116 receives signals 112 from each of the transponders 102, 104 using the same code ("code 1"). Similarly, the second user 128 receives signals 114 from each of the transponders 102, 104 using the same code ("code 2"). The central hub 110 determines the time delay between the users and the hub for signals transmitted or received via each transponder and inserts appropriate delays to equalize the total delay via each transponder. Thus, the intended signals from different transponders will all arrive at the intended user in-phase, while non-intended signals will arrive out of phase.

Figure 10 illustrates the summation and matched-filtering of signals at a user's terminal in accordance with the present invention. The CDMA matched-filtering of the total signal received from all the transponders at the terminal produces greater signal strength when there are multiple satellites. As discussed above, CDMA signals that are not intended for the user will appear as noise and can be suppressed. Thus, the same CDMA code can be reused under certain restrictions.

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Referring to Figure 10, reference number 130 generally indicates three incoming sequences of information that are arriving in-phase. Each of the signals in this example has a code length of six and the signals are match-filtered to form a signal which

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is generally represented by 132 and the signal strength out of the matched filter is determined according to the equation

$$S \approx n_c^2 n_t^2$$

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The reference number 134 generally indicates three incoming sequences that are arriving out-of-phase. In this example, each of the signals has a code length of six; the signals are match-  
10 filtered and appear as noise as generally represented by 136. The interference or noise power is expressed according to the equation

$$N_I \approx n_c n_t$$

It has been determined that the signal-to-  
15 noise ratio for a typical user is governed by the following equation:

$$\left( \frac{S}{N_I} \right)_{CT} \approx \frac{n_c n_t}{n_u - 1} \quad \text{Equation 1}$$

where S = Signal Power;  
N<sub>I</sub> = Interference Noise Power;  
20 n<sub>c</sub> = CDMA Code Length;  
n<sub>t</sub> = No. of Transponders; and  
n<sub>u</sub> = No. of Total Users.

It has further been determined that as long  
25 as the users are sufficiently far separated, the same CDMA code can be re-used without significantly degrading the signal-to-noise ratio. The system capacity of the disclosed system is thus proportional to n<sub>c</sub> and n<sub>t</sub>.

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In accordance with a preferred embodiment of the present invention, a novel resource allocation technique for the multi-platform communication system 10 is disclosed. As discussed below, the system 10 consists of a plurality of transponding platforms or nodes, examples of which are set forth above, that work coherently and cooperatively to achieve total system capacity that is proportional to the number of platforms ( $n_i$ ) and the number of code choices ( $n_c$ ), which is related to the total communication bandwidth.

As is known, the key resources of any communication system are power and bandwidth. In the case of a system employing CDMA, the bandwidth resource is related to the number of available codes. Alternatively, for a system employing TDMA, time slots play an analogous role. Conventional systems are typically either power limited or bandwidth limited, but seldom both. The resource allocation is usually based on dynamic allocation of power (for a power limited system) or bandwidth (for a bandwidth limited system). In accordance with the disclosed system, the bandwidth resource will be referred to herein as the system resource which may include code or time in cases of multiple access applications.

The preferred system 10 utilizes a resource allocation technique with three dimensions:

bandwidth, the number of platforms, and the power available per platform. Accordingly, a technique is disclosed that utilizes Linear Programming or other similar resource allocation concepts to dynamically  
5 optimize the usage of the system resources during operation and maximize the total monetary return per capital.

The following parameters are relevant to  
10 the resource allocation system 10 of the preferred embodiment:

	$B$	Total effective bandwidth including reuse.
	$b_i$	Bandwidth used by user $i$ .
15	$n_t$	Number of platforms in the system.
	$n_u$	Number of users served by the system.
	$N_u$	Number of users requesting to be served by the system.
20	$p_{ij}$	Power provided by platform $j$ for user $i$ .
	$P_j$	Power available at platform $j$ for all users.
	$q_i$	Power required by user $i$ to close the communication link.
25	$x_i$	Profit (revenue minus cost) rate when user $i$ is served.
	$x$	Total profit rate of the system.
	$\delta_i$	1 if user $i$ is served by the system; 0 otherwise.
30	$\delta_{ij}$	1 if user $i$ is served by platform $j$ ; 0 otherwise.

The total number of users served by the system at any arbitrary moment can be determined as follows:

$$n_u = \sum_{i=1}^{N_u} \delta_i$$

5 With a system having bandwidth limitations, the system resources are typically allocated in accordance with the following equation:

$$\sum_{i=1}^{N_u} \delta_i b_i \leq B$$

Conversely, with a system having power limitations,  
10 the system resources are typically allocated in accordance with the following equations:

$$\sum_{i=1}^{N_u} \delta_i P_{ij} \leq P_j$$

$$\sum_{j=1}^{n_r} \delta_{ij} P_{ij} = q_i$$

With both these systems the resources are allocated  
15 with the intention of maximizing the number of users served by the system ( $n_u$ ).

In accordance with the preferred resource allocation method, the platform space is an  
20 independent dimension. Therefore, the platform power resource can be calculated as follows:

$$\sum_{i=1}^{N_u} \delta_{ij} P_{ij} \leq P_j$$

$$\sum_{j=1}^{N_i} \delta_{ij} P_{ij} = q_i$$

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The usage indicator  $\delta_y$  is used to describe this new degree of freedom and is mathematically related to the old usage indicator as follows:

$$\delta_i = \bigvee_{j=1}^{n_i} \delta_{y_j}$$

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In accordance with the preferred embodiment, a profit value is defined based on the user type. The profit value can depend on factors such as revenue or other monetary amounts. 10 Additionally, the profit value can depend upon a variety of other factors or criteria stored in the central hub and associated with each of the different user types. These factors or criteria allow the system to distribute or allocate resources between 15 the various different types of users.

For example, users that request different levels of service may be treated differently. If a user is paying a premium for guaranteed service, the 20 system will assign that user a profit value such that the system will allocate resources preferentially to that user over another user who is only paying for service on a conditional basis. Further, the user types can also be distinguished depending upon the 25 features they choose, such as voice or data.

The disclosed method preferably optimizes the profit value, which is also referred to as the utility value. The total profit/utility value of the

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disclosed system is calculated according to the following equation:

$$\mathbf{x} = \sum_{i=1}^{N_u} \delta_i \mathbf{x}_i$$

For the disclosed multi-platform system, the total  
5 profit/utility of the system (x) is preferably  
maximized in accordance with the following  
constraints jointly and dynamically:

$$\sum_{i=1}^u \delta_{ij} P_{ij} \leq P_j$$

$$\delta_i = \text{OR}_{j=1}^{n_i} \delta_{ij}$$

$$\sum_{i=1}^{N_{\mathcal{U}}} \delta_i b_i \leq B$$

It is assumed that the same bandwidth or  
15 code space is used by all platforms to provide  
service to any user. In the preferred embodiment, a  
CDMA system is utilized, such as discussed above.  
Other systems such as FDMA/TDMA systems can also be  
utilized, however the bandwidth resource allocation  
20 can be more complicated. The disclosed resource  
allocation technique provides better utilization of  
resources, allows flexible response to market demand  
changes and maximizes the total profit return.

In order to describe the operation of the disclosed resource allocation technique, an exemplary multi-platform system consisting of four platforms ( $P_1, P_2, P_3, P_4$ ) and four codes ( $C_1, C_2, C_3, C_4$ ) is disclosed and shown in Figure 11. As shown in Figure 11, which is merely for illustration purposes, the disclosed system 10 is not limited by either the size of the platform space or the size of the code space above. The diagram includes a plurality of individual resource cells, with each individual resource cell being associated with a particular platform and a particular code. The number of individual resource cells is equal to the number of platforms multiplied by the number of codes.

Thus, in Figure 11, sixteen individual resource cells exist with the first cell being located in the diagram at the coordinate position identified by ( $P_1, C_1$ ) and the last cell being located in the diagram at the coordinate position identified by the ( $P_4, C_4$ ). The identification of the other cells will be known and understood by those of skill in the art.

Having now fully described the invention, it will be apparent to one of ordinary skill in the art that many changes and modifications can be made thereto without departing from the spirit or scope of the invention as set forth herein.

**In The Claims:**

1           1. A method for allocating system  
2 resources in a multi-platform communication system,  
3 comprising:  
4           providing a plurality of individual  
5 transponding nodes;  
6           processing a plurality of local user  
7 signals at a ground hub to compensate for  
8 differential propagation delays to any one of a  
9 plurality of remote users;  
10          assigning each of said plurality of remote  
11 users a profit value, which is dependent upon certain  
12 predetermined user criteria;  
13          assigning each of said plurality of remote  
14 users one or more resource cells in platform-code  
15 space depending upon service requirements of each of  
16 said plurality of remote users;  
17          wherein each resource cell assigned to a  
18 particular user enables him to transmit signals to or  
19 from the hub through a particular one of said  
20 transponder nodes and using a particular code.

1           2. The method of claim 1, wherein each of  
2 said plurality of individual transponding nodes is  
3 independently selected from one of the following  
4 system types: a space-based system, a high altitude  
5 platform system, or a tower based cellular network.



$$7 \quad \sum_{i=1}^{Nu} \delta_i b_i \leq B$$

1           9. A mobile wireless communication system  
2 for a variety of different mobile user types,  
3 comprising:

4           a plurality of individual transponding  
5 nodes;

6           a plurality of individual resource cells  
7 each associated with a particular one of said  
8 plurality of individual transponding nodes and a  
9 particular one of a plurality of available codes;

10          a plurality of mobile terminals, each of  
11 which is assigned to operate in one or more of said  
12 plurality of individual resource cells;

13          a profit value assigned to each of said  
14 plurality of mobile terminals; and

15          a central hub for establishing links with  
16 one or more of said plurality of mobile terminals and  
17 for assigning one or more of said resource cells to  
18 each of said plurality of mobile terminals and for  
19 assigning said profit value to each of said plurality  
20 of mobile terminals.

1           10. The system of claim 9, wherein said  
2 central hub establishes links to said users through  
3 one or more of said plurality of transponding nodes  
4 wherein the specific transponding node and code used  
5 to complete each of said links are determined by said  
6 resource cells assigned to said user.

1           11. The system of claim 9, wherein said  
2 central hub pre-processes signals for forward link

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3 transmission such that they are radiated with  
4 compensating time delays to an intended one of said  
5 plurality of mobile users who coherently receives all  
6 such signals intended for him; and

7 wherein said central hub post-processes  
8 received signals to introduce compensating time  
9 delays such that all such signals received from a  
10 particular remote user may be coherently processed  
11 together.

1 12. The system of claim 9, wherein each of  
2 said plurality of individual transponding nodes is  
3 independently selected from one of the following  
4 system types: a space-based system, a high altitude  
5 platform system, or a tower based cellular network.

1 13. The system of claim 12, wherein said  
2 high altitude platform system is comprised of a  
3 plurality of manned/unmanned airships.

1 14. The system of claim 12, wherein said  
2 high altitude platform system is comprised of a  
3 plurality of high altitude balloons.

4 15. The system of claim 9, wherein the  
5 system profitability is maximized by giving system  
6 priority to users having a preselected profit value.

1 16. The system of claim 9, wherein power  
2 to a particular one of said plurality of mobile  
3 terminals is increased by increasing the number of

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4 resource cells assigned to said particular user  
5 and/or by increasing the number of said plurality of  
6 platforms assigned to said particular user.

1 17. The system of claim 11, wherein at  
2 least one of said plurality of mobile terminals is  
3 assigned resource cells in platform-code space for  
4 said return link that are different from said  
5 resource cells in platform-code space assigned for  
6 said forward link.

1 18. A method for allocating system  
2 resources in a multi-platform communication system,  
3 comprising:

4 providing a plurality of mobile users;  
5 establishing a link between each of said  
6 plurality of mobile users and a ground hub through  
7 one or more of a plurality of transponding nodes;

8 processing a plurality of local user  
9 signals at said ground hub;

10 assigning each of said plurality of mobile  
11 users an individual profit value indicative of a  
12 particular type of service requested by said mobile  
13 user; and

14 transmitting signals to or from said ground  
15 hub through one or more of said transponder modes and  
16 one or more resource cells.

1 19. The method of claim 18, wherein each  
2 of said plurality of transponding nodes is

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3 independently selected from one of the following  
4 platform system types: a space-based system, a high  
5 altitude platform system, or a tower-based cellular  
6 network.

1 20. The method of claim 18, further  
2 comprising:

3 assigning each of said plurality of mobile  
4 users one or more of said resource cells, which are  
5 each associated with a particular one of said  
6 plurality of transponding modes and a particular one  
7 of a plurality of available codes.

1 21. The method of claim 18, further  
2 comprising:

3 determining a total profit/utility value  
4 for the system based partly on said assigned mobile  
5 user profit value.

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[illegible][illegible]

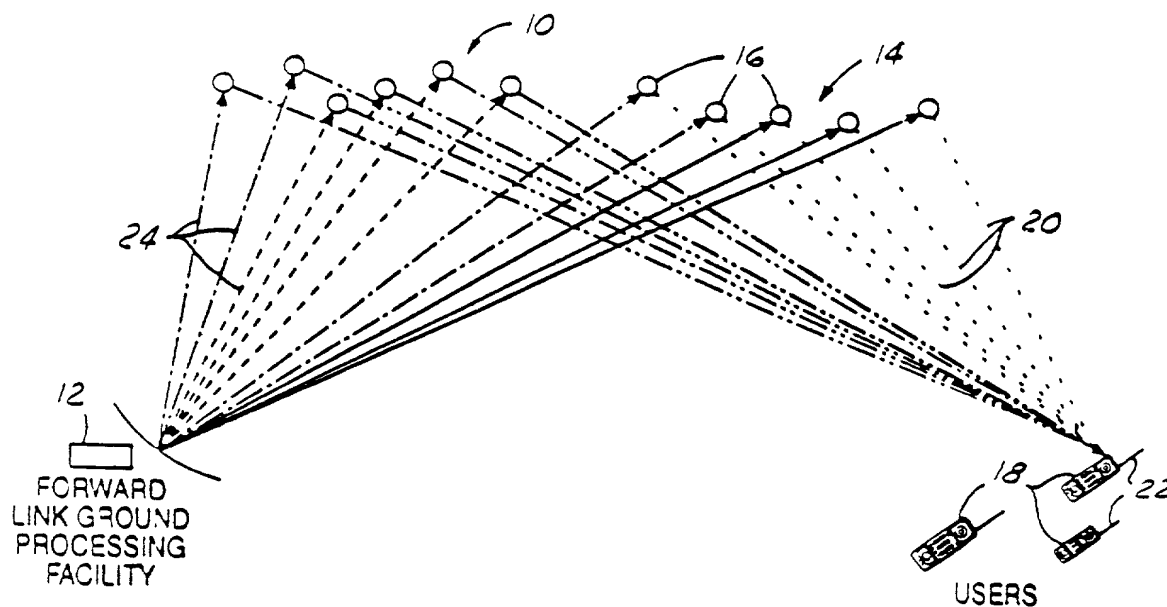


FIG. 1

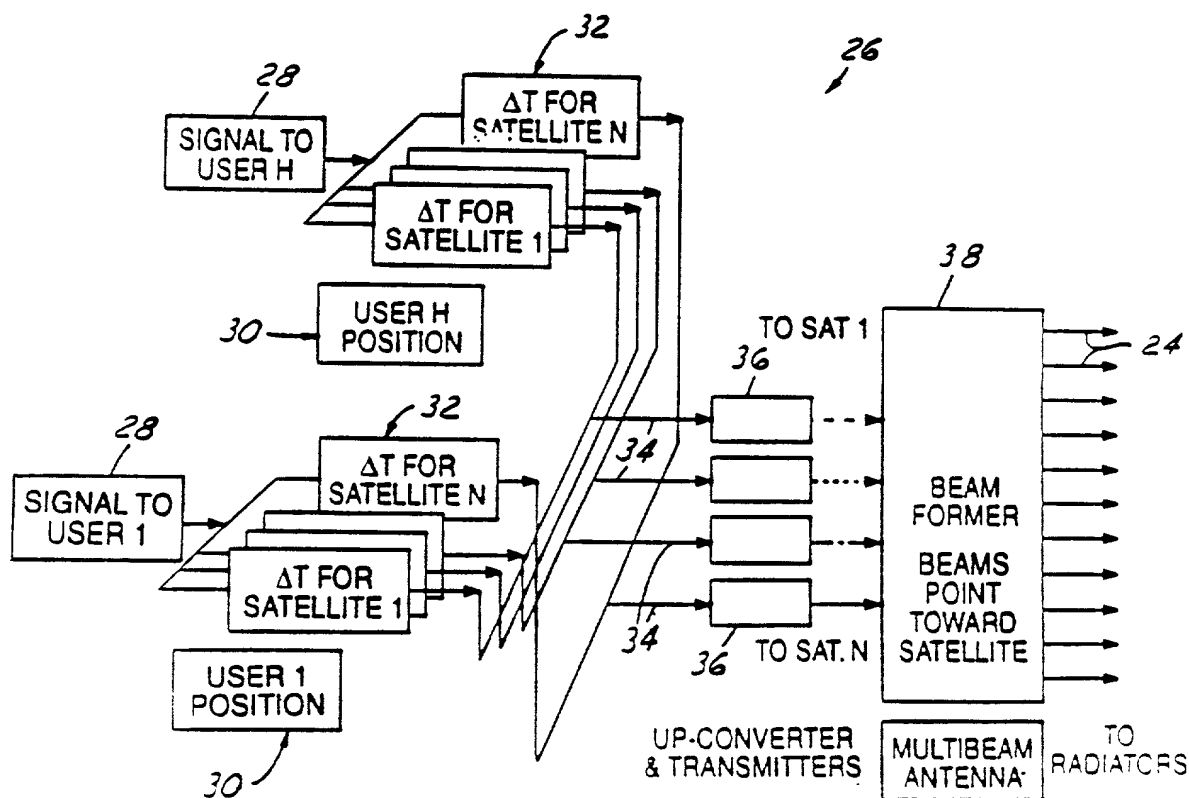
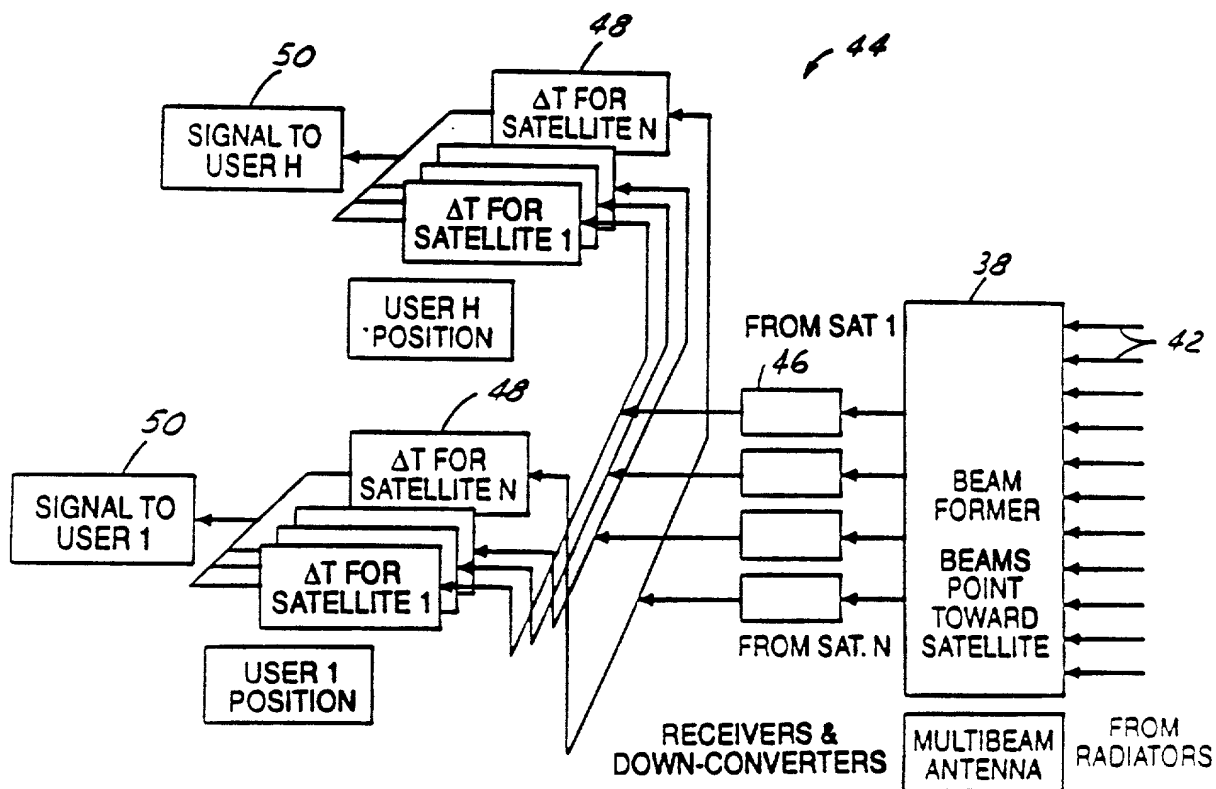
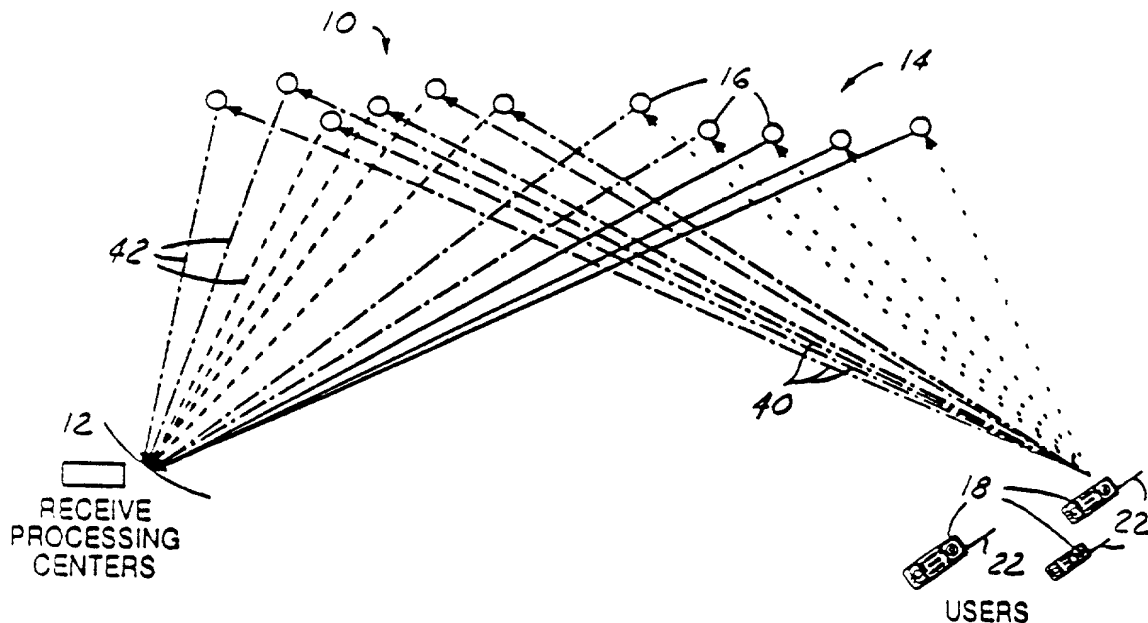
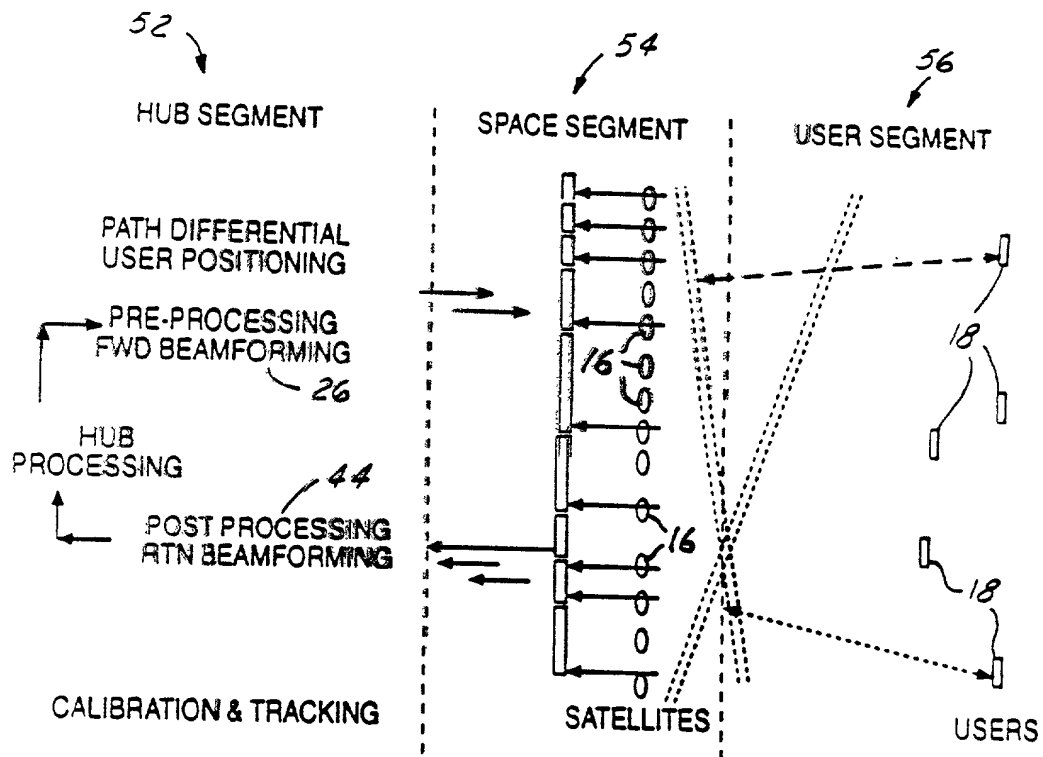


FIG. 2







**FIG. 5**

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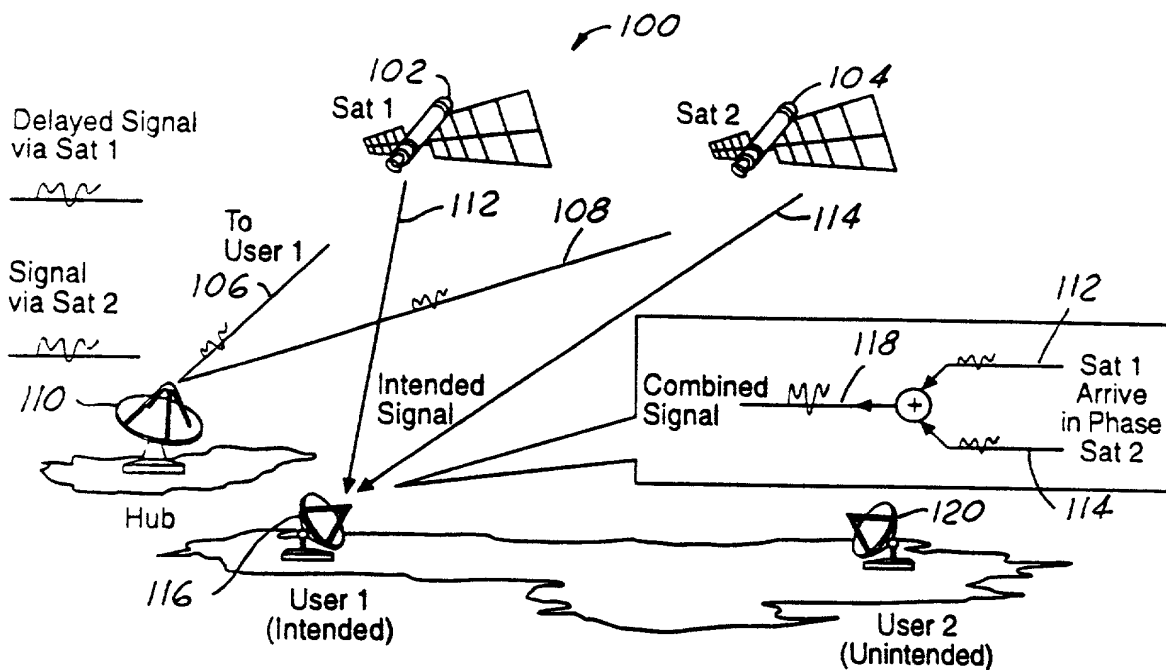


FIG. 6

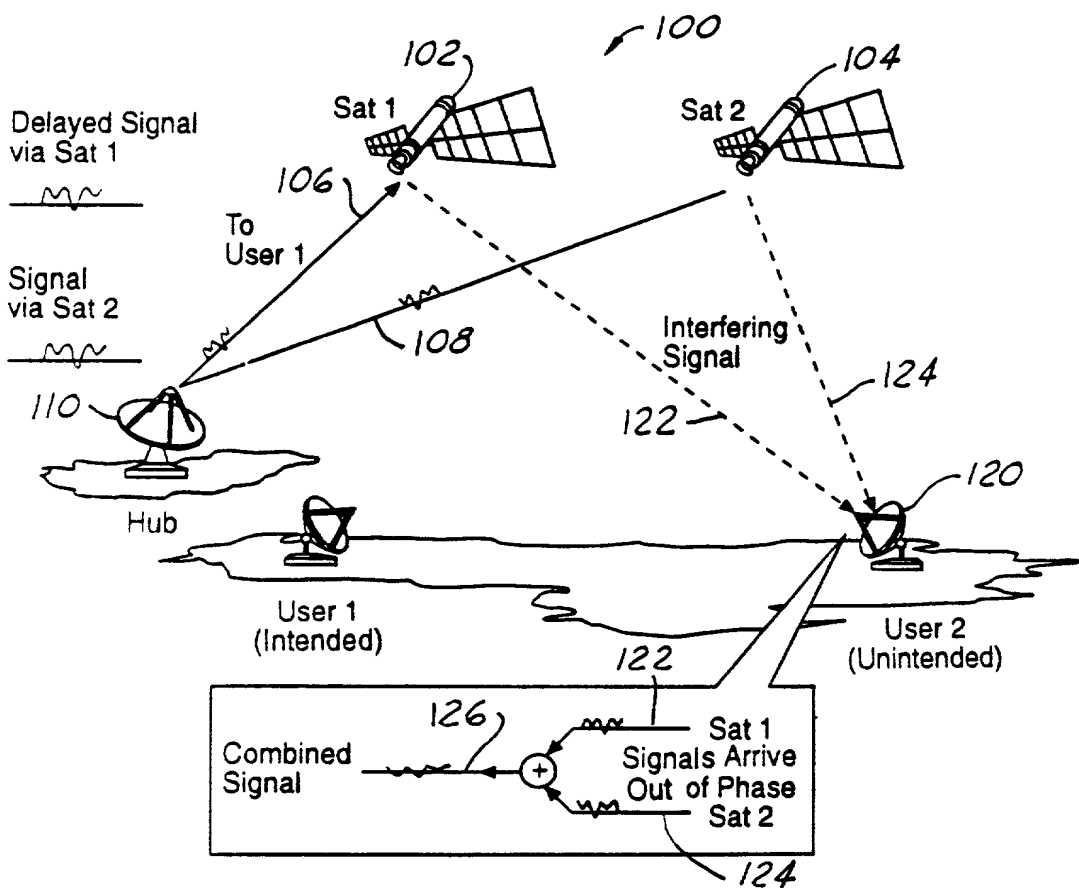
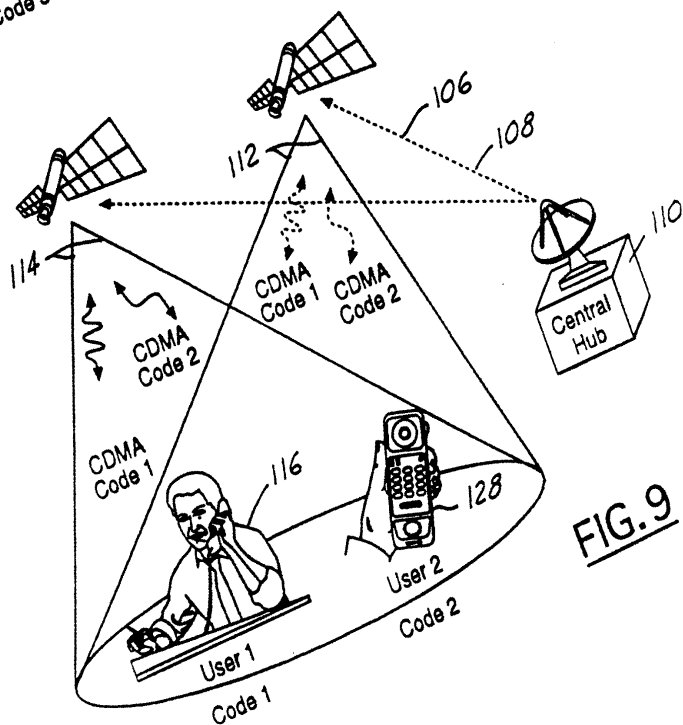
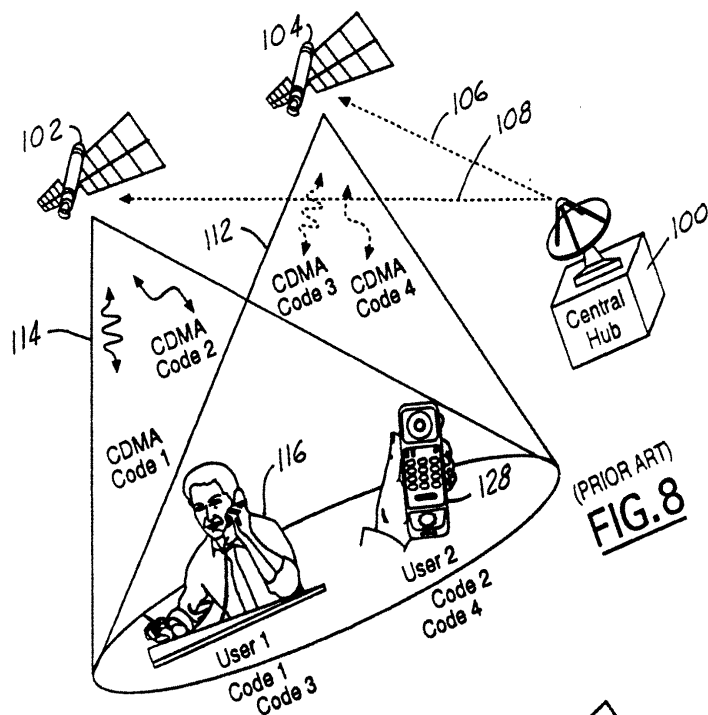


FIG. 7



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AND POWER OF ATTORNEY

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- ☒ Original  
☐ Continuation  
☐ Division  
☐ Continuation-  
in-part  
☐ Supplemental

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **RESOURCE ALLOCATION METHOD FOR MULTI-PLATFORM COMMUNICATION SYSTEM**

the specification of which

- (check one) ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and (a) [other than supplemental] was amended on or (b) [supplemental] with amendments through \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed  
☐ Yes ☐ No

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Number	Country	Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
Application Serial No.	Filing Date	Status (patented, pending, abandoned)

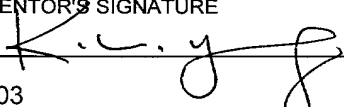

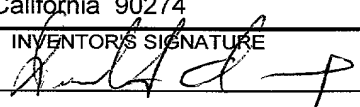
I hereby appoint the following attorneys, or agent and attorneys, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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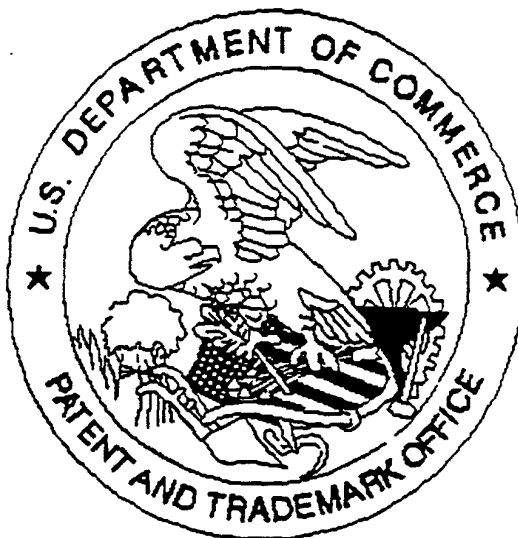
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I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such false statements may jeopardize the validity of the application or any patent issued thereon.

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